

# A new Diagnostic for cyclic Wh-Movement: Discourse Particles in German Questions

## 1 Introduction

Main claim: Q(uestion)-sensitive discourse particle *denn* is licit in a dependent clause only when *wh*-movement has taken place out of this clause. It can be used as a diagnostic for cyclic *wh*-movement.

- (1) a. *Wen meinst du, dass wir denn zu der Tagung einladen sollten?*  
who.ACC thought you that we DENN to the conference invite should  
'Who did you think that we should invite to the conference?'
- b. *\*Wer meinte, dass wir denn einen Gastredner einladen sollten?*  
who thought that we DENN a guest.speaker invite should  
'Who thought that we should invite a guest speaker?'

### 1.1 Discourse Particles (DiPs) in *wh*-Questions

- (2) a. *Wo wohnst du?*  
where live you  
'Where do you live?'
- b. *Wo wohnst du denn?*  
where live you DENN  
'Where do you live?(I'm wondering)'

*Comment:* *denn* makes reference to some common ground between speaker and hearer beyond the presupposition

- (3) a. *In welcher Weise meinen Sie, dass er denn Ihrer Region besser helfen würde?*  
in which way think you that he DENN your region better help would  
'In which way do you think that he would help your region in a better way?'
- b. *Ich meine, dass er (\*denn) ihrer Region besser helfen würde.*  
I think that he DENN your region better help would  
'I think that he would help your region in a better way.'

### 1.1.1 Some Properties of DiPs

*Comment:* relevant for the issue under investigation

- (4) a. lack any overt grammatical reflexes such as morphological agreement or relation to argument structure
- b. are heads rather than phrases
- c. are sensitive to clause type, eg.: *denn* occurs still in interrogatives

### 1.1.2 DiPs and Illocutionary Force

Force specifies the clause type (according to Rizzi's(1997) proposal of a split CP) and is present of the top of main clause

## 1.2 Successive Cyclic Wh-Movement

Various languages employ strategies by which the moved element or part of the moved element appears overtly on the clausal edges of the CPs involved. These constructions have become known as *partial wh-movement*

- (5) *Was glaubst du, was Gerda meint, wem wir vertrauen können?*  
what believe you what Gerda thinks who.DAT we trust can  
'Who do you believe Gerda thinks we can trust?'

and as *copy wh-movement*

- (6) *Wem glaubst du, wem Gerda meint, wem wir vertrauen können?*  
who.DAT believe you who.DAT Gerda thinks who.DAT we trust can  
'Who do you believe Gerda thinks we can trust?'

## 2 Experimental Investigations

### 2.1 Experiment 1

Experiment 1 investigates the acceptability of *denn* in main and embedded clauses, depending on whether a sentence-initial *wh*-word originated in the main clause ("short *wh*-movement") or in the embedded clause ("long *wh*-movement").

Materials had a tree-factor design:

- the between-items factor Movement (short vs. long *wh*-movement)
- the two factors Particle (*denn* vs. *damals*)
- the Particle Position (main clause vs. embedded clause)

*Short wh-movement*

- (7) *Wer<sub>i</sub> berichtete t<sub>i</sub> ihr (denn), dass die Einbrecher (denn) gefasst wurden?*  
 who told her DENN that the burglars DENN caught were

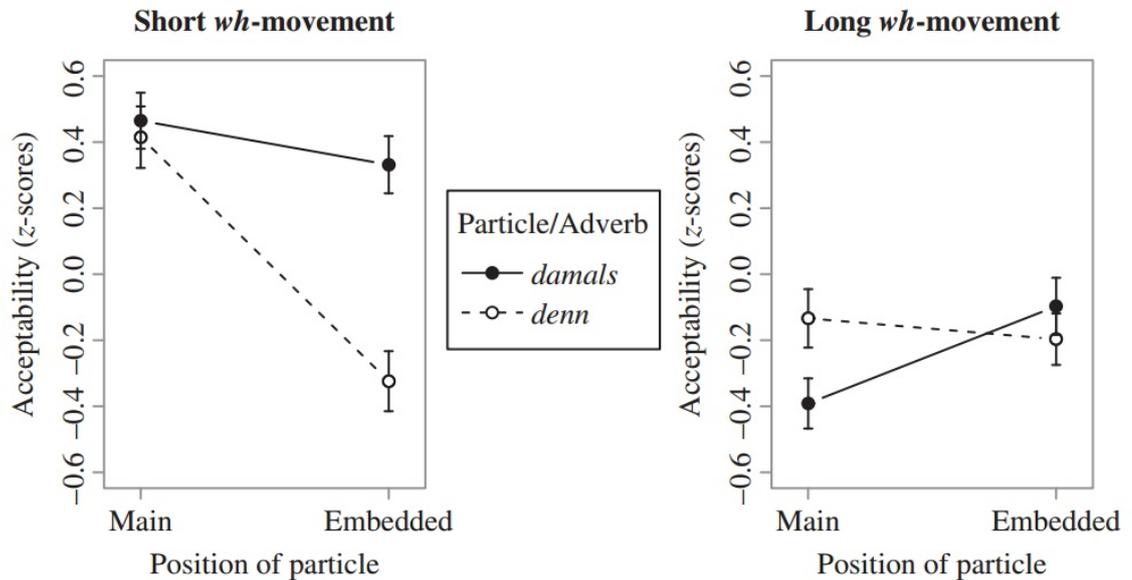
'Who told her DENN/back then that the burglars were caught?'

*Long wh-movement*

- (8) *Wen<sub>i</sub> vermutete er (denn), t<sub>i</sub> dass die Polizei (denn) t<sub>i</sub> festgenommen hat?*  
 who.ACC suspected he DENN that the police DENN arrested has

'Who did he suspect that the police arrested?'

Results:



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Figure 1: Acceptability ratings in Experiment 1 (z-scores)

Generally long *wh*-movement sentences are judged as less acceptable than short *wh*-movement sentences, with one exception: when they contain *denn* in the embedded clause, short *wh*-movement sentences are less acceptable than long *wh*-movement sentences.

*Comment:* The acceptability of long *wh*- extraction was relatively low, because of the well-known problematic status of long extraction for (some) speakers of German (Kvam 1983, Paul 1919).

## 2.2 Experiment 2

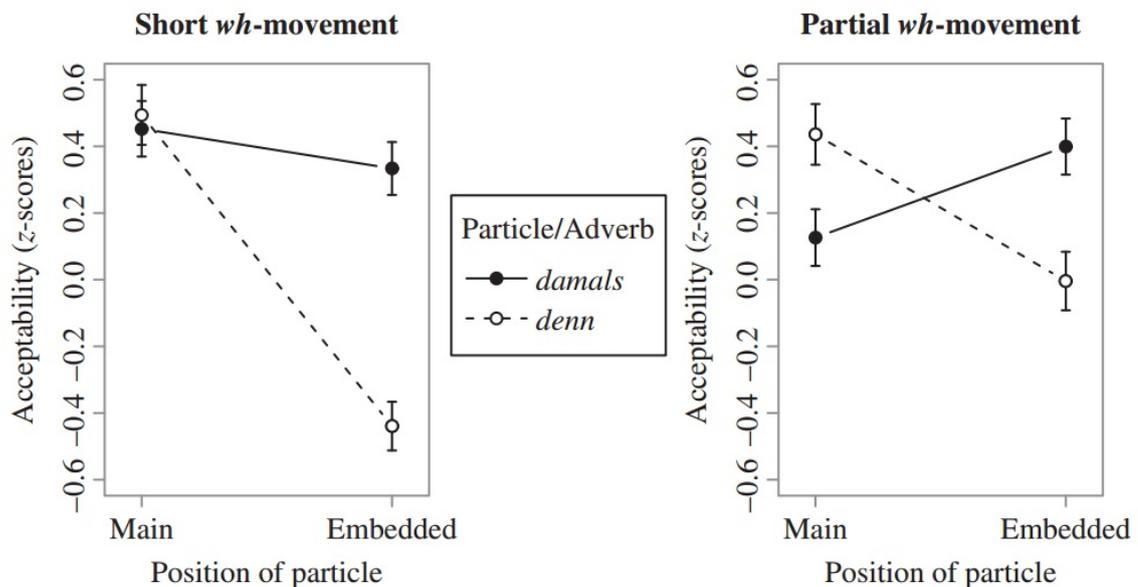
Experiment 2 replaces long *wh*-extraction from Experiment 1 by partial movement, as shown

- (9) *Was vermutete er denn, wen<sub>i</sub> die Polizei t<sub>i</sub> festgenommen hat?*  
 what suspected he DENN who.ACC the police arrested has

'Who did he suspect that the police arrested?'

- (10) *Was vermutete er, wen<sub>i</sub> die Polizei denn t<sub>i</sub> festgenommen hat?*  
 what suspected he who.ACC the police DENN arrested has  
 'Who did he suspect the police arrested?'

Results: In summary, the results of Experiment 2 confirm the results of Experiment 1. Overall, *denn* is less acceptable in embedded clauses, but acceptability increases strongly when the left periphery of the embedded clause contains a *wh*-element whose scope is linked to the root clause.



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Figure 2: Acceptability ratings in Experiment 2 (z-scores)

### 3 Licensing DiPs in *Wh*-Questions

Fundamental to the syntactic explanation is the assumption of feature-sharing between a clause-type-specific Force head and a matching DiP.

#### 3.1 Local Licensing

- (11) **Agree (Assignment version following Chomsky 2000:2001)**
- An unvalued feature F (a probe) on a Head H scans its c-command domain for another instance of F (a goal) with which to agree
  - If the goal has a value, its value is assigned as the value of the probe
- (12) **Valuation / Interpretability Biconditional (Chomsky 2001:5)**  
 A feature F is interpretable iff F is unvalued

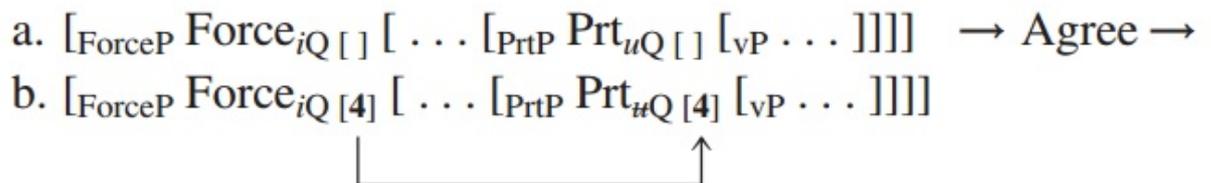
(13) **Deletion of uninterpretable features**

Once an uninterpretable feature is valued, it can and must delete

**The feature-sharing theory (by Pesetsky and Torrego (2007))**

uF val uninterpretable valued    iF val interpretable valued  
iF [ ] interpretable unvalued    iF [ ] interpretable unvalued

*Comment:* the authors adopt a feature-sharing theory



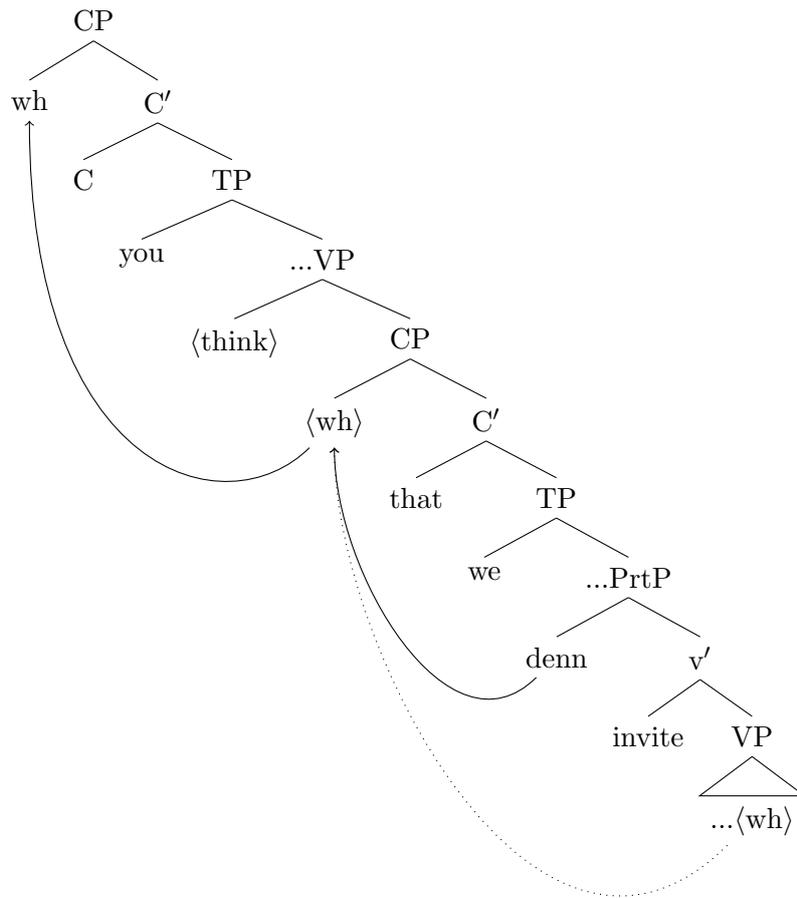
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Figure 3: Local licensing

*Comment:*

- The featural agreement requires the same features to be present in both locations.
- The particle remains exactly where it is merged.
- The particle gains access to Force at a distance and can give a rise to the fine-tuning of Force without becoming a phrase-structural subconstituent of Force. NB! Force is *prima facie* neutral with respect to these shades of meaning

### 3.2 Local Licensing in Embedded Clause



### 3.3 Summary

In *wh*-questions in which *wh* and the particle occur in different clauses, the (uQ)-feature on the particle cannot value (iQ)-features on the Force head unless *wh*-movement has taken place from the embedded clause. The long *wh*-movement via Spec,CP leaves a representation of Q-Force in the intermediate Spec,CP that can be valued by the corresponding Q-feature on the particle without violation of the Phase Impenetrability Condition.<sup>1</sup>

## 4 References

Bayer, Josef, Hussler, Jana, and Bader, Markus. 2016. A New Diagnostic for Cyclic WhMovement: Discourse Particles in German Questions. *Linguistic Inquiry* 47:591-629

<sup>1</sup>Phase Impenetrability Condition: If X is dominated by a complement of a phase YP, X cannot move out of YP.

## 4.1 Appendix

### Sample stimuli from Experiment 1

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#### *Short wh-movement, particle/adverb in main clause*

Wer berichtete ihr denn/damals, dass die Einbrecher gefasst wurden?  
who told her DENN/back.then that the burglars caught were  
'Who told her DENN/back then that the burglars were caught?'

#### *Short wh-movement, particle/adverb in dependent clause*

Wer berichtete ihr, dass die Einbrecher denn/damals gefasst wurden?  
who told her that the burglars DENN/back.then caught were  
'Who told her that the burglars were caught DENN/back then?'

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#### *Long wh-movement, particle/adverb in main clause*

Wen vermutete er denn/damals, dass die Polizei festgenommen hat?  
who.ACC suspected he DENN/back.then that the police arrested has  
'Who did he suspect DENN/back then that the police arrested?'

#### *Long wh-movement, particle/adverb in dependent clause*

Wen vermutete er, dass die Polizei denn/damals festgenommen hat?  
who.ACC suspected he that the police DENN/back.then arrested has  
'Who did he suspect that the police arrested DENN/back then?'

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Figure 4: Sample stimuli from Experiment 1

## Sample stimuli from Experiment 2

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### *Short wh-movement, particle/adverb in main clause*

Wer berichtete ihr damals/denn, dass die Einbrecher gefasst wurden?  
who told her back.then/DENN that the burglars caught were  
'Who told her back then/DENN that the burglars were caught?'

### *Short wh-movement, particle/adverb in embedded clause*

Wer berichtete ihr, dass die Einbrecher damals/denn gefasst wurden?  
who told her that the burglars back.then/DENN caught were  
'Who told her that the burglars were caught back then/DENN?'

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### *Partial wh-movement, particle/adverb in main clause*

Was vermutete er damals/denn, wen die Polizei festgenommen hat?  
what suspected he back.then/DENN who.ACC the police arrested has  
'Who did he suspect back then/DENN that the police arrested?'

### *Partial wh-movement, particle/adverb in embedded clause*

Was vermutete er, wen die Polizei damals/denn festgenommen hat?  
what suspected he who.ACC the police back.then/DENN arrested has  
'Who did he suspect that the police arrested back then/DENN?'

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Figure 5: Sample stimuli from Experiment 2